

Form PTO-1390 (Rev. 12-2004)		US PATENT AND TRADEMARK OFFICE; US DEPARTMENT OF COMMERCE		ATTORNEY'S DOCKET NO. C 2680 PCT/US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				U.S. APPLICATION NO. 10/539730 <small>(known as 37 CFR 1.5)</small>	
INTERNATIONAL APPLICATION NO. PCT/EP2003/013978		INTERNATIONAL FILING DATE December 10, 2003		PRIORITY DATE CLAIMED December 19, 2002	
TITLE OF INVENTION METHOD FOR PRODUCING HYDROXYCARBOXYLIC ACID ESTERS					
APPLICANT(S) FOR DO/EO/US Ansgar BEHLER and Almud FOLGE					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (EXECUTED). 10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input type="checkbox"/> A preliminary amendment.					
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.					
15. <input type="checkbox"/> A substitute specification.					
16. <input type="checkbox"/> A power of attorney and/or change of address letter.					
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.					
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19. <input type="checkbox"/> A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).					
20. <input checked="" type="checkbox"/> Other items or information: Information Disclosure Statement					
"Express Mail" Mailing Label Number <u>EV530260440US</u>					

U.S. Application No. <u>10/539730</u> (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2003/013978		ATTORNEY'S DOCKET NUMBER C 2680 PCT/US	
21. The following fees are submitted:					
■ a) Basic national fee..... \$300.00				\$	300.00
■ b) Examination fee..... \$200.00				\$	200.00
■ c) Search fee - search report prepared and provided to USPTO..... \$400.00				\$	400.00
TOTAL OF ABOVE CALCULATIONS = \$ 900.00				\$	900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
XX - 100 =	XX/50 =	0	x \$250.00	\$	0
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	0
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20 - 20 =	0	0 X \$50.00	\$	0
Independent Claims	3 - 3 =	0	0 X \$200.00	\$	0
MULTIPLE DEPENDENT CLAIMS (S) (if applicable)		0	+ \$360.00	\$	0
TOTAL OF ABOVE CALCULATIONS =				\$	900.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	0
SUBTOTAL =				\$	900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0
TOTAL NATIONAL FEE =				\$	0
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	0
TOTAL FEES ENCLOSED				\$	900.00
				Amount to be refunded:	\$-----
				Amount to be charged:	\$ 900.00
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-1177</u> in the amount of \$ 900.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. <u>05-0238</u> . c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1177</u> . A triplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Customer Label No. 23657				<u>Arthur G. Seifert</u> SIGNATURE	
				Arthur G. Seifert NAME ATTORNEY FOR APPLICANT 28,040 REGISTRATION NUMBER	